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Docket No.: SHO-0043  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Kazuo OKADA

Application No.: 10/697,027

Confirmation No.: 1099

Filed: October 31, 2003

Art Unit: 3714

For: GAMING MACHINE

Examiner: R. Hsu

**INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Submitted herewith on Form PTO-1449 or PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 or PTO/SB/08 be returned in accordance with MPEP §609.

- ☒ A copy of each listed document is being submitted to comply with the provisions of 37 CFR §§1.97 and 1.98
- ☒ excluding a copy of U.S. patent or U.S. patent application publication as waived by the U.S. Patent and Trademark Office, since this application was filed after June 30, 2003 or has entered the national stage under 35 U.S.C. § 371 after June 30, 2003 (1276 OG 55).

Application No.: 10/697,027

Docket No.: SHO-0043  
(80288-0043)

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

- ☒ 1. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311 or an action that otherwise closes prosecution in the application, but before payment of the Issue Fee (37 C.F.R. §1.97(d)). Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. The Patent Office is authorized to charge deposit account 18-0013 in the amount of \$180.00 under 37 CFR §§ 1.97(d)(2) and 1.17(p) in view of the statement below.

a. Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

Receipt date: 01/08/2007

Application No.: 10/697,086

Docket No.: SHO-0044  
(80288-0044)

- ☒ 2. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013 as needed to ensure consideration of the disclosed information.

Dated: January 8, 2007

Respectfully submitted,

By 

Carl Schaukowitz

Registration No.: 29,211

RADER, FISHMAN & GRAUER PLLC  
Correspondence Customer Number: 23353  
Attorney for Applicant

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH (Official)

Approved for use through 03/31/2007. OMB 0851-0031

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

## Complete if Known

Application Number	10/697,027-Conf. # 1099
Filing Date	October 31, 2003
First Named Inventor	Kazuo OKADA
Art Unit	3714
Examiner Name	R. Hsu
Attorney Docket Number	SHO-0043

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.†	Document Number Number-Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US 4,371,870	02/01/1983	Michael A. BIFERNO	
	AB	US 4,562,433	12/31/1985	Michael A. BIFERNO	
	AC	US 4,718,672	01/12/1988	Kazuo OKADA	
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	AV	US 6,135,884	10/24/2000	Joseph R. HEDRICK et al.	
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	AY	US 2001/0050736 A1	12/13/2001	Jeong-Hwan LEE et al.	
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	BB	US 6,517,433 B2	02/11/2003	Timothy C. LOOSE et al.	
	BC	US 2003/0060269 A1	03/27/2003	Craig PAULSEN et al.	
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	BF	US 2003/0162579 A1	08/28/2003	Michael GAUSELMANN	
	BG	US 2003/0184690 A1	10/02/2003	Akifumi OGAWARA et al.	
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	BJ	US 6,802,575	10/12/2004	Harry P. LEE	
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	BL	US 6,820,875 B1	11/23/2004	Joseph R. HEDRICK et al.	

Examiner Signature	/Ryan Hsu/	Date Considered	02/19/2009
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.H./

PTO/SB/08A/B (09-06)

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Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				Application Number	10/697,027-Conf. # 1099
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†
		Country Code <sup>1</sup> -Number <sup>2</sup> -Kind Code <sup>3</sup> (if known)					
	CA	JP 02-019182		01/23/1990	Sophia Co., Ltd.		X
	CB	WO 99/64997		12/16/1999	David TIMPERLEY		
	CC	JP 2000-300729		10/31/2000	Benkei Kikaku KK		X
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	CG	JP 2001-252394		09/18/2001	Denso Corp. et al.		X
	CH	JP 2002-113150		04/16/2002	Anuze Corp.		X

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Error Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Ryan Hsu/	Date Considered	02/19/2009
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